

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete If Known

Sheet 1 of 10

Application Number 09/828,108
Filing Date April 6, 2001
First Named Inventor Jon D. Kittelsen
Group Art Unit 3764
Examiner Name Michael A. Brown
Attorney Docket Number 33116.51

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
MB	1	US-D328,494	08-04-1992	Allison J. Schwendeman, et al	
	2	US- D343,928	02-01-1994	Jon D. Kittelsen	
	3	US- D356,188	03-07-1995	Jon D. Kittelsen	
	4	US- D373,421	09-03-1996	Thomas J. Brown	
	5	US- D397,442	08-25-1998	Jon D. Kittelsen	
	6	US- 257,038	04-25-1882	James V. McMann	
	7	US- 1,117,928	11-17-1914	Walter J. Thurmond	
	8	US- 1,323,832	12-02-1919	Isidor Chlge	
	9	US- 1,481,209	07-10-1923	Josiah S. Bridges	
	10	US- 1,470,888	10-18-1923	Victor C. Smedley	
	11	US- 1,487,392	03-18-1924	Peyton A. Lee	
	12	US- 2,118,980	05-31-1938	Howard H. Montgomery, et al	
	13	US- 2,257,709	09-30-1941	Louis P. Anderson	
	14	US- 2,423,005	06-24-1947	Hyman F. Chaiken	
	15	US- 2,630,117	03-03-1953	Clarence F. Coleman	
	16	US- 2,643,652	06-30-1953	Jack F. Cathcart	
	17	US- 2,659,368	11-17-1953	Albert J. Savarese	
	18	US- 2,689,988	02-23-1954	Victor H. Carpenter	
	19	US- 2,678,043	06-11-1954	Emanuel Stark	
	20	US- 2,694,397	11-16-1954	Frederick W. Herms	

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Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
MB	21	DE - 480423	07-11-1929	Dr. Karl Koneffke		
	22	CA - 1147583	06-07-1983	Gerald Dufour		

Examiner Signature

MB

Date Considered

7-21-01

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Examiner Name	Michael A. Brown
Attorney Docket Number	33116.51

Sheet	2	of	10
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MB	23	US-2,702,032	02-15-1955	Jack J. Freedland	
	24	US- 2,708,931	05-24-1955	Jack J. Freedland	
	25	US- 2,750,941	06-19-1956	Jack F. Cathcart	
	26	US- 2,833,278	05-08-1956	Harold M. Ross	
	27	US- 2,847,003	08-12-1958	Norman D. Helmer, et al	
	28	US- 2,933,811	04-26-1960	Herman M. Lifton	
	29	US- 2,988,908	01-03-1961	Jack F. Cathcart, et al	
	30	US- 3,018,052	01-09-1962	Louis L. Zubren	
	31	US- 3,058,482	10-16-1962	Louis L. Greenblum	
	32	US- 3,073,300	01-15-1963	Hymen H. Berghash	
	33	US- 3,082,765	03-26-1963	Norman D. Helmer	
	34	US- 3,107,667	10-22-1963	Ernest R. Moore	
	35	US- 3,124,129	03-10-1964	Marc E. Grossberg	
	36	US- 3,128,002	03-24-1964	Herbert E. Owens	
	37	US- 3,203,417	08-31-1965	Norman D. Helmer	
	38	US- 3,207,153	09-21-1965	Sol Goldstein	
	39	US- 3,223,085	12-14-1965	Kenneth W. Gores, et al	
	40	US- 3,247,844	04-26-1968	Hymen H. Berghash	
	41	US- 3,312,218	04-04-1967	Alfred G. Jacobs	
	42	US- 3,319,626	05-16-1967	David K. Lindsay	

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² Applicant's unique document designation number (optional). ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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Sheet 3 of 10

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First Named Inventor Joh D. Kittelsen
Group Art Unit 3764
Examiner Name Michael A. Brown
Attorney Docket Number 33116.51

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		Number - Kind Code ² (if known)			
MB	43	US-3,407,809	10-29-1988	Harold M. Ross	
	44	US- 3,411,501	11-19-1988	Samuel Greenberg	
	45	US- 3,418,527	12-17-1988	William A. Hoef	
	46	US- 3,448,738	08-10-1989	Robert D. Berghash	
	47	US- 3,457,918	07-29-1969	Jerry M. Wolicki	
	48	US- 3,498,938	02-24-1970	Kenneth W. Gores	
	49	US- 3,485,242	12-23-1969	Samuel Greenberg	
	50	US- 3,505,985	04-14-1970	Samuel Greenberg	
	51	US- 3,513,838	05-28-1970	John W. Foderick, et al	
	52	US- 3,518,988	07-07-1970	Kenneth W. Gores	
	53	US- 3,532,091	10-08-1970	Marlin D. Lerman	
	54	US- 3,882,184	08-08-1972	Glenn O. Miller	
	55	US- 3,892,025	09-19-1972	Samuel Greenberg	
	56	US- 3,788,465	10-30-1973	Norman D. Helmer	
	57	US- 3,884,832	02-11-1975	Gunnar O. Carlson	
	58	US- 3,916,527	11-04-1975	Leonard I. Linkow	
	59	US- 3,924,838	12-09-1975	Gilbert E. Mann	
	60	US- 3,943,924	03-18-1976	Steven B. Kallastad, et al	
	61	US- 4,030,493	06-21-1977	William T. Walters, et al	
	62	US- 4,044,762	08-30-1977	Alfred G. Jacobs	

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				Filing Date	April 6, 2001
				First Named Inventor	Jon D. Kittelsen
				Group Art Unit	3764
				Examiner Name	Michael A. Brown
Sheet	4	of	10	Attorney Docket Number	33116.51

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

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PTO/SB/08A (10-01)

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				Application Number	09/828,108
				Filing Date	April 6, 2001
				First Named Inventor	Jon D. Kittelsen
				Group Art Unit	3764
				Examiner Name	Michael A. Brown
				Attorney Docket Number	33116.51

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Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
PLS	83	US-4,765,324	08-23-1988	John R. Lake, Jr.	
	84	US-4,791,941	12-20-1988	Quinton A. Schaefer	
	85	US-4,793,803	12-27-1988	Martin G. Martz	
	86	US-4,799,500	01-24-1989	Renton D. Newbury	
	87	US-4,810,192	03-07-1989	Edward D. Williams	
	88	US-4,838,283	06-13-1989	Alexander Y. Lee, Jr.	
	89	US-4,848,365	07-18-1989	Clement A. Guarfotti, et al	
	90	US-4,887,147	09-19-1989	E. Wayne Davis	
	91	US-4,944,947	07-31-1990	Martin H. Newman	
	92	US-4,955,393	09-11-1990	Loren S. Adell	
	93	US-4,978,618	12-11-1990	Kent Anderson	
	94	US-4,977,905	12-18-1990	Jon D. Kittelsen, et al	
✓	95	US-4,989,616	02-05-1991	Alexander Y. Lee, Jr.	
	96	US-5,031,611	07-16-1991	Randall C. Moles	
	97	US-5,031,638	07-16-1991	Cosmo R. Castaldi	
	98	US-5,063,940	11-12-1991	Loren S. Adell, et al	
	99	US-5,076,785	12-31-1991	Yu-Son Tsal	
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	101	US-5,103,838	04-14-1992	Edward N. Yousif	
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Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
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				Application Number	09/828,108
Sheet 6 of 10				Filing Date	April 6, 2001
				First Named Inventor	Jon D. Kittelsen
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				Examiner Name	Michael A. Brown
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		Number - Kind Code ² (if known)			
MB	103	US-5,117,816	06-02-1992	Norman A. Shapiro	
	104	US- 5,152,301	10-06-1992	Jon D. Kittelsen, et al	
	105	US- 5,154,609	10-13-1992	Peter T. George	
	106	US- 5,165,424	11-24-1992	Harvey N. Silverman	
	107	US- 5,174,284	12-29-1992	Frank W. Jackson	
	108	US- 5,184,003	03-16-1993	Gabriel L. Garay, et al	
	109	US- 5,194,004	03-16-1993	Earl O. Bergersen	
	110	US- 5,203,351	04-20-1993	Loren S. Adell	
	111	US- 5,234,005	08-10-1993	Jon D. Kittelsen, et al	
	112	US- 5,235,991	08-17-1993	Sue A. Minneman	
	113	US- 5,259,762	11-09-1993	Christopher J. Farrell	
	114	US- 5,277,203	01-11-1994	Marvin B. Hays	
✓	115	US- 5,293,880	03-15-1994	Steven J. Levitt	
	116	US- 5,297,960	03-29-1994	William F. Burns	
	117	US- 5,299,936	04-05-1994	Masato Ueno	
	118	US- 5,302,117	04-12-1994	Jon Kraut, et al	
	119	US- 5,313,960	05-24-1994	Barbara R. Tosas	
	120	US- 5,316,474	05-31-1994	Walter H. Robertson	
	121	US- 5,320,114	06-14-1994	Jon D. Kittelsen, et al	
	122	US- 5,323,787	06-28-1994	Andrea P. Pratt	

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Sheet	7	of	10
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		Number - Kind Code ² (if known)			
MB	123	US-5,328,362	07-12-1994	Sherman L. Watson, et al	
	124	US- 5,338,086	08-09-1994	Christian Simmen	
	125	US- 5,339,832	08-23-1994	Jon D. Kittelsen, et al	
	126	US- 5,353,810	10-11-1994	John D. Kittelsen, et al	
	127	US- 5,385,155	01-31-1995	Jon D. Kittelsen, et al	
	128	US- 5,365,946	11-22-1994	Norm J. V. McMillan	
	129	US- 5,386,821	02-07-1995	Karl A. Poterack	
	130	US- 5,401,234	03-28-1995	Barry M. Libin	
	131	US- 5,408,963	04-18-1995	Loren S. Adell	
	132	US- 5,447,168	09-05-1995	James J. Bancroft	
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	134	US- 5,469,865	11-28-1995	Sue A. F. Minneman	
	135	US- 5,490,520	02-13-1996	Donald W. Schaefer, et al	
	136	US- 5,511,562	04-30-1996	Raymond R. Hancock	
	137	US- 5,513,656	05-07-1996	James P. Boyd, Sr.	
	138	US- 5,533,524	07-09-1996	Sue A. F. Minneman	
	139	US- 5,568,684	10-22-1996	Eugene C. Wagner	
	140	US- 5,584,887	12-17-1996	Maureen P. Sullivan	
	141	US- 5,586,582	12-24-1996	Warren W. Matz	
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	144	US- 5,824,257	04-29-1997	Christopher J. Farrell	
	145	US- 5,838,379	08-10-1997	Edward D. Williams	
	146	US- 5,846,216	07-08-1997	Sherman L. Watson, et al	
	147	US- 5,649,534	07-22-1997	Stephen W. Briggs, III	
	148	US- 5,666,973	08-16-1997	Janos Walter	
	149	US- 5,692,523	12-02-1997	Theodore P. Croll, et al	
	150	US- 5,718,243	02-17-1998	Shirley Weatherford, et al	
	151	US- 5,718,575	02-17-1998	Henry D. Cross, III	
	152	US- 5,730,599	03-24-1998	Elizabeth Y. Pak	
	153	US- 5,746,221	05-05-1998	Carl H. Jones, et al	
	154	US- 5,818,255	10-06-1998	Laurence Fishman, et al	
	155	US- 5,819,744	10-13-1998	Frank S. Stoyka, Jr.	
	156	US- 5,823,193	10-20-1998	Gary H. Singer, et al	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	7-21-05
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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet	9	of	10
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Application Number	09/828,108
Filing Date	April 6, 2001
First Named Inventor	Jon D. Kittelsen
Group Art Unit	3764
Examiner Name	Michael A. Brown
Attorney Docket Number	33116.51

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Filing Date	April 6, 2001
				First Named Inventor	Jon D. Kittelsen
				Group Art Unit	3764
				Examiner Name	Michael A. Brown
				Attorney Docket Number	33116.51
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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MB ↓	176 /	Mouth Protectors: Give Your Teeth a Sporting Chance, American Dental Association, 1985	
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